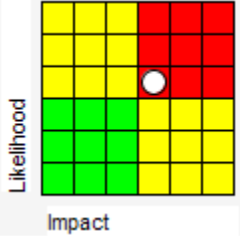
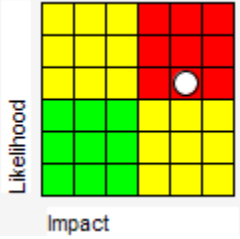
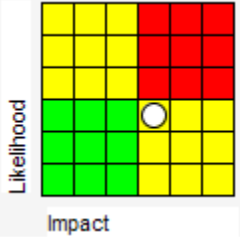
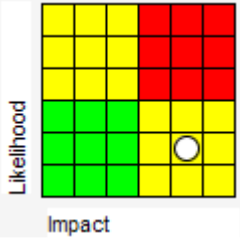
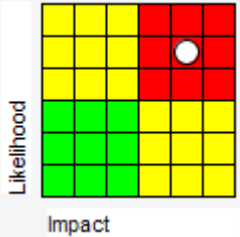
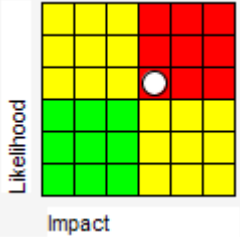


ESSENTIAL REFERENCE PAPER 'B'

**Strategic Risk Register – February 2013 to April 2013**

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR1	Risk of significant reduction in funding above that planned for, in particular Localisation of Council Tax Support and localisation of business rates.	There is uncertainty around future funding, both from Government and other areas such as income from commodities markets for recycled materials. There are cost pressures combined with an increased awareness and scrutiny of financial position.		3	3	Adele Taylor	February to April 2013: Finalised funding settlement has been analysed and impacts included in Medium Term Financial Plan.
12 - SR2	Risk of a loss of capacity / capability and flexibility to deliver service levels we would like.	There are challenges around workforce planning to ensure the Council is fit for the future, in terms of workforce skills, capacity and flexibility.		4	3	Adele Taylor	February to April 2013: Workforce planning and organisational development continues to be planned. This will be monitored through Officer and Member committees.
12 - SR3	Risk that supplier / contractor or key third sector partner fails or fails to deliver.	A number of key external and internal services are delivered through major contracts, both directly and in consortia. This is both through private sector supply chains and in conjunction with the voluntary and third sector.		3	2	George A Robertson	February to April 2013: No significant service delivery or financial concerns.

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR4	Risk that investment and effort does not deliver benefits and returns in Shared Services	Moving more towards shared services with other public sector partners. Potential for lack of consistent political buy-in by all partners resulting in considerable effort without benefit. There is also a challenging skill set for managers due to the complexity.		3	3	Adele Taylor	February to April 2013: Current shared Revenues and Benefits service is delivering against a significantly increased workload. Shared service business case for ICT, print, design and business improvement is in development and will be considered by Members in June 2013.
12 - SR5	There is uncertainty on overall future government policy and a number of changes required without accompanying resource.	Risk of being unable to long term strategically plan.		2	3	Simon Drinkwater	February to April 2013: Risk on target. Risks arising from changes in government policy are identified and reported to Corporate Management Team. The reduction in Council Tax benefit and the introduction of universal credit are issues which are currently being considered. Extra resources and training have been provided. The Council is continuing to respond to changes in the benefit system. The situation is being monitored. The Council has responded to the changes in planning resulting from the introduction of the new framework and other changes arising from the Localism Act. The District Plan is progressing with a revised timetable.

Code	Title	Description	Current Risk Matrix	Impact	Likelihood	Managed By	Latest Note
12 - SR6	Risk that SMG does not implement Council policies in a coherent and consistent way.	There could be a lack of consistency and cohesion at senior management levels of implementing decisions.		4	1	Simon Drinkwater	February to April 2013: Corporate Management Team meets fortnightly. Part of the role of CMT is to ensure consistency in implementing decisions. Directors discuss the implementation of decisions with Heads of Service and other managers to ensure consistency of approach. Departmental Management Team meetings convey details of decisions to relevant staff. Directors are responsible for ensuring that decisions are implemented correctly. The changes from the action plan produced after the Peer Challenge are intended to improve decision making.
12 - SR7	Availability and performance of IT systems and resources impacting on service delivery.	Reduced levels of service across the Authority. Targets may not be achieved. Staff morale and reputation of Council may suffer. Influence of ITSG should reduce risks		4	4	Adele Taylor	February to April 2013: ITSG monitoring the service with new performance measures to be embedded from the start of the new financial year. Business case for shared service to be consulted upon in May 2013.
12 - SR8	Data Protection: Failure to comply with the data protection principles. The potential disclosure of personal data inappropriately.	Action may be taken by the ICO. Individuals may suffer if their personal data, particularly sensitive personal data is disclosed.		3	3	George A Robertson	February to April 2013: Remains critical subject to review and assessment of Data Protection Risk Assessment Action Plans and progress against them in services by Data Protection Compliance Officer. Data protection Governance structure endorsed by Corporate Business Scrutiny Committee and now in place.